

# COUPLING TECHNIQUE

Eric Vigoda

Georgia Tech

EPFL Bernoulli Center, July '18

- 1 **Definitions:** Ising Model, Coupling, etc.
- 2 **Path Coupling:**  
Rapid Mixing on  $\mathbb{Z}^2$  for sufficiently high temperature.
- 3 **Strong Spatial Mixing (SSM)  $\rightarrow O(n \log^2 n)$  Mixing:**  
On box of  $\mathbb{Z}^2$ ,  $O(n \log^2 n)$  mixing for all  $\beta < \beta_c(\mathbb{Z}^2)$ .
- 4 **Mossel-Sly for General Graphs:**  
Any  $G$  with max degree  $d$ ,  $O(n \log n)$  mixing for  $\beta < \beta_c(\mathbb{T}_d)$ .

# MARKOV CHAIN BASICS

## Markov Chain:

Finite state space  $\Omega$  where  $N = |\Omega|$ .

Transition matrix  $P$  is an  $N \times N$  stochastic matrix.

$$\text{Ergodic} = \exists t^*, \forall x, y \in \Omega, P^{t^*}(x, y) > 0.$$

Ergodic  $\iff$  aperiodic and irreducible

$$\text{Aperiodic} = \forall x, y \in \Omega, \exists t = t(x, y), P^t(x, y) > 0.$$

$$\text{Irreducible} = \forall z \in \Omega, \gcd\{t : P^t(z, z) > 0\} = 1.$$

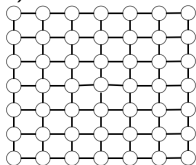
$\pi$  is a **stationary distribution** if  $\pi P = \pi$ .

**Key Theorem [Doebelin '38]:** For a finite, ergodic Markov chain, there is a **unique stationary** distribution  $\pi$  such that:

$$\text{for all } x \in \Omega, P^t(x, \cdot) = \pi.$$

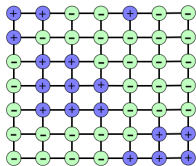
# RUNNING EXAMPLE: ISING MODEL

Consider graph  $G = (V, E)$  as  $n \times n$  box of  $\mathbb{Z}^2$ :



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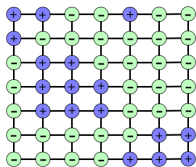
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Configurations:  $\Omega = \{-1, +1\}^V$ .

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Configurations:  $\Omega = \{-1, +1\}^V$ .

Inverse temperature  $\beta > 0$ . For  $\sigma \in \Omega$ :

Monochromatic edges:  $M(\sigma) = |\{(v, w) \in E : \sigma(v) = \sigma(w)\}|$

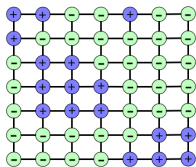
$$\text{Gibbs dist.: } \mu(\sigma) = \frac{\exp(\beta M(\sigma))}{Z}$$

$$\text{Partition function: } Z = Z_G = \sum_{\eta \in \Omega} \exp(\beta M(\eta)).$$

**Ferromagnetic** (attractive) since  $\beta > 0 \rightarrow$  neighbors prefer to align.

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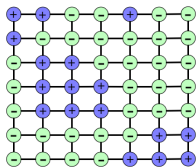
Sampling: Gibbs dist.:  $\mu(\sigma) = \frac{\exp(\beta M(\sigma))}{Z}$

Counting: Partition function:  $Z = Z_G = \sum_{\eta \in \Omega} \exp(\beta M(\sigma))$ .

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Configurations:  $\Omega = \{-1, +1\}^V$ . Potts:  $\Omega = \{1, 2, \dots, q\}^V$ .

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**Glauber Dynamics:** For  $G = (V, E)$ , MC  $(X_t)$  on  $\Omega = \{-1, +1\}^V$ .

From  $X_t \in \Omega$ :

- Choose  $v \in V$  uniformly at random.
- For all  $w \neq v$ , set  $X_{t+1}(w) = X_t(w)$ .
- Choose  $X_{t+1}(v)$  from marginal conditional on neighbors spin:

$$\mu(\sigma(v) | \sigma(w) = X_{t+1}(w) \text{ for all } w \in N(v)).$$

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**Stationary distribution  $\pi$  is Gibbs distribution  $\mu$ .**

How fast does it converge to  $\pi$ ?

$$T_{\text{mix}}(\epsilon) = \max_{X_0 \in \Omega} \min\{t : d_{\text{TV}}(P^t(X_0, \cdot), \pi) \leq \epsilon\}.$$

For dist.  $\mu, \nu$  on  $\Omega$ ,  $d_{\text{TV}}(\mu, \nu) = \frac{1}{2} \sum_{x \in \Omega} |\mu(x) - \nu(x)| = \max_{S \subset \Omega} \mu(S) - \nu(S)$ .

**Mixing time:**  $T_{\text{mix}} = T_{\text{mix}}(1/4)$

**Sub-multiplicative:**  $T_{\text{mix}}(\epsilon) = \lceil \log_2(\epsilon) \rceil T_{\text{mix}}$

# COUPLING TECHNIQUE

## What is a coupling?

For distributions  $\mu$  and  $\nu$  on  $\Omega$ ,

coupling is a joint distribution  $\omega$  on  $\Omega \times \Omega$  where:

$$\text{for all } x \in \Omega, \quad \sum_{y \in \Omega} \omega(x, y) = \mu(x)$$

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**Intuition:** If  $\mu = \nu = \text{Uniform}(\Omega)$  then  $\omega$  defines a fractional perfect matching.

Sample  $(X, Y)$  from  $\omega$  in two equivalent ways:

1. Sample  $X$  from  $\mu$ , then apply  $\omega$  to get  $Y$ .
2. Sample  $Y$  from  $\nu$ , then apply  $\omega$  to get  $X$ .

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**Coupling Lemma:** For any coupling  $\omega$  of  $\mu$  and  $\nu$ :

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$$\begin{aligned} \text{Proof:} \quad \Pr(X \neq Y) &\geq 1 - \sum_{z \in \Omega} \min\{\mu(z), \nu(z)\} \\ &= \sum_{z \in \Omega} \mu(z) - \min\{\mu(z), \nu(z)\} \\ &= \sum_{\substack{z \in \Omega: \\ \mu(z) \geq \nu(z)}} \mu(z) - \min\{\mu(z), \nu(z)\} \\ &= \max_{S \subset \Omega} \mu(S) - \nu(S) = d_{\text{TV}}(\mu, \nu) \end{aligned}$$



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Always exists optimal coupling:

There exists coupling  $\omega$  of  $\mu$  and  $\nu$  where:

$$d_{\text{TV}}(\mu, \nu) = \Pr(X \neq Y).$$

*Proof:* Constructive.

# COUPLING OF MARKOV CHAINS

Consider a Markov chain  $(\Omega, P)$ .

Coupling is a joint process  $\omega = (X_t, Y_t)$  on  $\Omega \times \Omega$  where:

$$X_t \sim P \text{ and } Y_t \sim P$$

More precisely, for all  $a, b, c \in \Omega$ ,

$$\Pr(X_{t+1} = c \mid X_t = a, Y_t = b) = P(a, c)$$

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**Intuition:**

$(X_t \rightarrow X_{t+1}) \sim P$  and  $(Y_t \rightarrow Y_{t+1}) \sim P$  but can correlate by  $\omega$ .

Let  $X_0$  be arbitrary, and  $Y_0 \sim \pi$ . Once  $X_T = Y_T$  then  $X_T \sim \pi$ .

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Coupling time:

$$T_{\text{couple}} = \max_{a,b} \min\{t : \Pr(X_t \neq Y_t \mid X_0 = a, Y_0 = b) \leq 1/4.\}$$

$$T_{\text{mix}} \leq T_{\text{couple}}$$

## COUPLING WARM-UP

Consider **Ising with  $\beta = 0$** :  $w(\sigma) = 1$  for all  $\sigma \in \{-1, +1\}^V$

Note, vertices independent of each other.

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- Choose random vertex  $v_t$  and random spin  $s_t \in \{-1, +1\}$ .
- Set  $X_{t+1}(v_t) = s_t$  and, for  $w \neq v_t$ ,  $X_{t+1}(w) = X_t(w)$ .

Equivalent to (lazy) **random walk on  $n$ -dimensional hypercube**.

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Consider arbitrary initial pair  $(X_0, Y_0)$ .

**Coupling at time  $t$** : Choose same vertex  $v_t$  and spin  $s_t$ .

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**Disagree vertices**:  $D_t = \{v : X_t(v) \neq Y_t(v)\}$ .

If update disagree  $v_t \in D_t$  then:  $X_{t+1}(v_t) = Y_{t+1}(v_t) = s_t$ .

Hence,  $\mathbb{E}[|D_{t+1}| \mid X_t, Y_t] \leq |D_t|(1 - 1/n)$ .



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$$\begin{aligned} \Pr(X_T \neq Y_T) &\leq \mathbb{E}[|D_T|] && \text{since if } X_T \neq Y_T \text{ then } |D_T| \geq 1 \\ &\leq |D_0|(1 - 1/n)^T \\ &\leq n \exp(-T/n) \\ &\leq 1/4 \quad \text{for } T \geq n \ln(4n). \end{aligned}$$

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- Choose random vertex  $v_t$ .
- For  $w \neq v_t$ ,  $X_{t+1}(w) = X_t(w)$ .
- Let  $d^+ = \#$  of +1 neighbors of  $v_t$ ,  $d^- = \#$  of -1 neighbors.
- Set  $X_{t+1}(v_t) = +1$  with prob.  $\propto \exp(\beta d^+)$   
and  $X_{t+1}(v_t) = -1$  with prob.  $\propto \exp(\beta d^-)$ .

Consider  $\beta > 0$ :

**Glauber dynamics:** From  $X_t \in \{-1, +1\}^V$ ,

- Choose random vertex  $v_t$  **random**  $r_t \in [0, 1]$ .
- For  $w \neq v_t$ ,  $X_{t+1}(w) = X_t(w)$ .
- Let  $d^+ = \#$  of +1 neighbors of  $v_t$ ,  $d^- = \#$  of -1 neighbors.
- $X_{t+1}(v) = \begin{cases} +1 & \text{if } r_t \leq \frac{\exp(\beta d^+)}{\exp(\beta d^+) + \exp(\beta d^-)} \\ -1 & \text{otherwise .} \end{cases}$

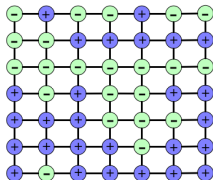
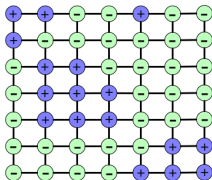
**Disagree vertices:**  $D_t = \{v : X_t(v) \neq Y_t(v)\}$ .

If  $\beta = 0$ :  $D_t \supseteq D_{t+1}$ .

If  $\beta > 0$ :  $D_t$  can grow.

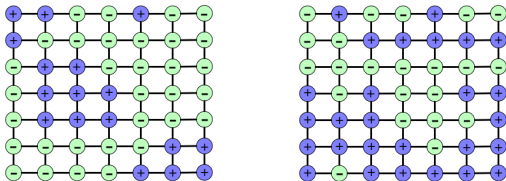
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Consider a pair of Ising configurations  $X_t$  and  $Y_t$ :

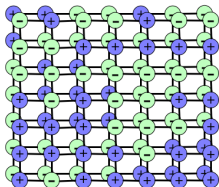


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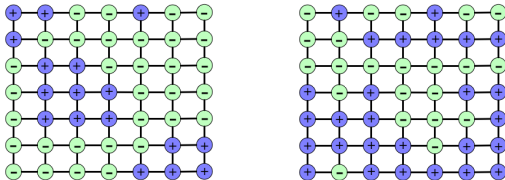


Look at  $\frac{X_t}{Y_t}$ :

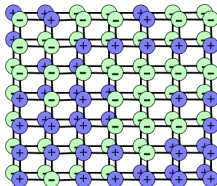


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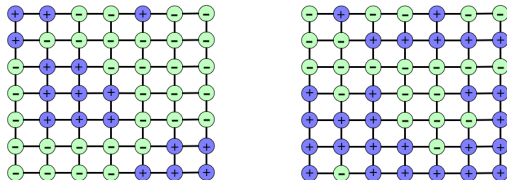
Identity Coupling:

Update same  $v_t$ ,

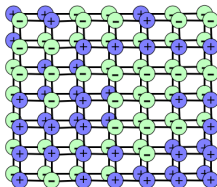
same seed  $r_t$  (i.e., same spin as much as possible).

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How to analyze???



For all  $X_t, Y_t$ , define a coupling:  $(X_t, Y_t) \rightarrow (X_{t+1}, Y_{t+1})$ .

Look at Hamming distance:  $H(X_t, Y_t) = |D_t|$ .

If for all  $X_t, Y_t$ ,

$$\mathbb{E}[H(X_{t+1}, Y_{t+1}) | X_t, Y_t] \leq (1 - 1/n)H(X_t, Y_t),$$

then  $T_{\text{mix}} = O(n \log n)$ .

*Path coupling:* Suffices to consider pairs where  $H(X_t, Y_t) = 1$ .

Let  $S \subset \Omega^2$  denote pairs  $(X_t, Y_t)$  where  $H(X_t, Y_t) = 1$ .  
Define a coupling  $\omega$  for all  $(X_t, Y_t) \in S$  where:

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For arbitrary  $(A_t, B_t) \in \Omega^2$ :

In graph  $(\Omega, S)$ , consider a shortest path  $A_t$  to  $B_t$ :

$$(A_t, W_t^1, W_t^2, \dots, W_t^{\ell-1}, B_t), \ell = H(A_t, B_t).$$

Couplings:  $\omega^1 = (W_t^0, W_t^1), \dots, \omega^\ell = (W_t^{\ell-1}, W_t^\ell)$ .

Compose:  $\omega = \omega^1 \circ \omega^2 \circ \dots \circ \omega^\ell$  gives coupling  $(A_t, B_t)$ .

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$$(A_t, W_t^1, W_t^2, \dots, W_t^{\ell-1}, B_t), \ell = H(A_t, B_t).$$

Couplings:  $\omega^1 = (W_t^0, W_t^1), \dots, \omega^\ell = (W_t^{\ell-1}, W_t^\ell)$ .

Compose:  $\omega = \omega^1 \circ \omega^2 \circ \dots \circ \omega^\ell$  gives coupling  $(A_t, B_t)$ .

Algorithmic View:

- ① Choose  $A_t \rightarrow A_{t+1}$  by  $P$ ,
- ② Apply  $\omega^1$  to get  $W_t^1 \rightarrow W_{t+1}^1$ ,
- ③ Apply  $\omega^2$  to get  $W_t^2 \rightarrow W_{t+1}^2, \dots$ ,
- ④ Get  $B_t \rightarrow B_{t+1}$ .

Let  $S \subset \Omega^2$  denote pairs  $(X_t, Y_t)$  where  $H(X_t, Y_t) = 1$ .  
 Define a coupling  $\omega$  for all  $(X_t, Y_t) \in S$  where:

$$\mathbb{E}[H(X_{t+1}, Y_{t+1}) \mid X_t, Y_t] \leq 1 - C/n.$$

For arbitrary  $(A_t, B_t) \in \Omega^2$ :

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Compose:  $\omega = \omega^1 \circ \omega^2 \circ \dots \circ \omega^\ell$  gives coupling  $(A_t, B_t)$ .

$$\begin{aligned} \mathbb{E}[H(A_{t+1}, B_{t+1})] &\leq \mathbb{E}\left[\sum_i H(W_{t+1}^{i-1}, W_{t+1}^i)\right] \\ &\leq \sum_i \mathbb{E}\left[H(W_{t+1}^{i-1}, W_{t+1}^i)\right] \\ &\leq \sum_i (1 - C/n) \\ &\leq H(A_t, B_t)(1 - C/n). \end{aligned}$$

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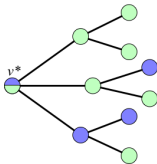
Then for arbitrary  $(A_t, B_t) \in \Omega^2$ , can construct coupling where:

$$\mathbb{E}[H(A_{t+1}, B_{t+1})] \leq H(A_t, B_t)(1 - C/n).$$

$$\begin{aligned} \Pr(A_T \neq B_T) &\leq \mathbb{E}[H(A_T, B_T)] \\ &\leq H(A_0, B_0)(1 - C/n)^T \\ &\leq n \exp(-C/n) \\ &\leq 1/4 \quad \text{for } T = O(n \log n). \end{aligned}$$

Hence,  $T_{\text{mix}} = O(n \log n)$ .

Consider a pair  $(X_t, Y_t)$  that differ at exactly one vertex  $v^*$ :



Update  $v^*$  then  $H(X_{t+1}, Y_{t+1}) = 0$ .

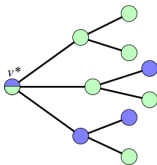
Update  $w \in N(v^*)$  then  $H(X_{t+1}, Y_{t+1}) = 2$  with probability:

$$\alpha(w) := \frac{\exp(\beta(d^+ + 1))}{\exp(\beta(d^+ + 1)) + \exp(\beta d^-)} - \frac{\exp(\beta d^+)}{\exp(\beta d^+) + \exp(\beta(d^- + 1))}$$

$$\mathbb{E}[H(X_{t+1}, Y_{t+1})] \leq 1 + \frac{1}{n} \left[ -1 + \sum_{w \in N(v)} \alpha(w) \right].$$

Worst case  $d^+ = d^-$ . When  $d = 4$  works for  $\beta < \ln(5/3)$ .

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Worst case  $d^+ = d^-$ . When  $d = 4$  works for  $\beta < \ln(5/3)$ .

Goal: All  $\beta < \beta_c := \ln(1 + \sqrt{2})$ .



$\Lambda_n = \sqrt{n} \times \sqrt{n}$  box of  $\mathbb{Z}^2$ .

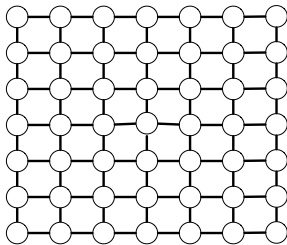
- Path coupling:  $T_{\text{mix}} = O(n \log n)$  for all  $\beta < \ln(5/3)$ .
- Phase transition:  $\beta_c = \ln(1 + \sqrt{2})$ .

How to prove  $T_{\text{mix}} = O(n \log n)$  for all  $\beta < \beta_c$ ?

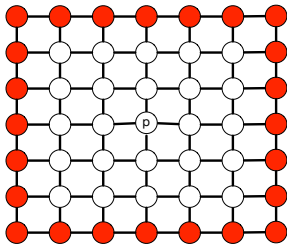
To Do:

- What's the phase transition mean?
- SSM = Strong Spatial Mixing
- Monotonicity of the Ising Model
- SSM  $\rightarrow T_{\text{mix}} = O(n \log n)$  on  $\Lambda_n$ .  
(we'll do  $O(n \log^2 n)$ .)

# ISING MODEL PHASE TRANSITION

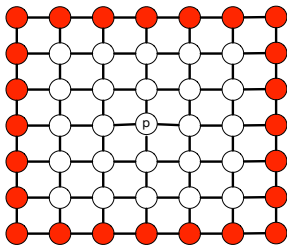


# ISING MODEL PHASE TRANSITION



Let  $p_n^+ = \mathbf{Pr}(\sigma(v^*) = +1 \mid \partial\Lambda_n = +)$  and  $p_n^-$  for  $-1$  boundary.

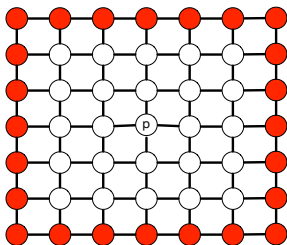
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$$\lim_{n \rightarrow \infty} p_n^+ \stackrel{?}{=} \lim_{n \rightarrow \infty} p_n^-$$

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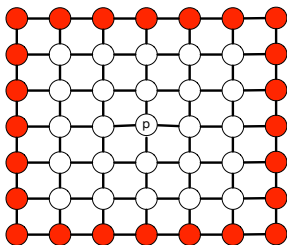
$$\lim_{n \rightarrow \infty} p_n^+ \stackrel{?}{=} \lim_{n \rightarrow \infty} p_n^-$$

Let  $\beta_c = \ln(1 + \sqrt{2})$ . [Onsager '44]:

For all  $\beta \leq \beta_c$ ,  $p_\infty^+ = p_\infty^-$

For all  $\beta > \beta_c$ ,  $p_\infty^+ \neq p_\infty^-$

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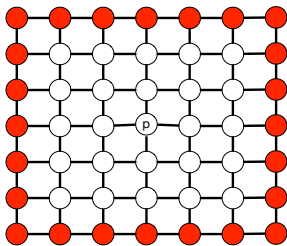
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uniqueness

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non-uniqueness

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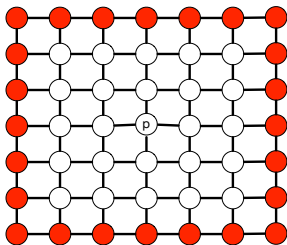
For all  $\beta > \beta_c$ ,  $p_\infty^+ \neq p_\infty^-$       non-uniqueness

$q$ -state Potts model, [Befara, Duminil-Copin '12]:  $\beta_c(q) = \ln(1 + \sqrt{q})$ .

Continuous for  $q \leq 4$  [Duminil-Copin, Sidoravicius, Tassion '16]

Discont. for  $q > 4$  [Duminil-Copin, Gagnebin, Harel, Manolescu, Tassion '18]

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$T_{\text{mix}}$  for Glauber dynamics on  $\sqrt{n} \times \sqrt{n}$  box:

All  $\beta < \beta_c$ , all b.c.:  $O(n \log n)$  [Martinelli, Olivieri, Schonmann '94]

All  $\beta > \beta_c$ , wired:  $\exp(\Omega(\sqrt{n}))$  [Thomas '89]

$\beta = \beta_c$ ? See: [Lubetzky, Sly '12][Borgs, Chayes, Tetali '12][Gheissari, Lubetzky '18]



# SPATIAL MIXING

For a box  $\Lambda_n$  and  $v \in V$ , let  $\mathbf{p}(v) = \mathbf{Pr}(v = +)$ .

**Weak Spatial Mixing (WSM):**

Exists  $C, \alpha > 0$ , for all boxes  $\Lambda_n$ , all  $v \in V$ , all boundaries  $\sigma, \eta$ :

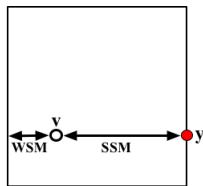
$$|\mathbf{p}^\sigma(v) - \mathbf{p}^\eta(v)| \leq C \exp(-\alpha \text{dist}(v, \partial\Lambda_n))$$

For  $y \in \partial\Lambda_n$  and boundary  $\sigma$ , obtain  $\sigma^y$  by “flipping” spin at  $y$ .

**Strong Spatial Mixing (SSM):**

Exists  $C, \alpha > 0$ , all  $\Lambda_n$ , all  $v \in V$ , all  $y \in \partial\Lambda_n$ , boundaries  $\sigma, \sigma^y$ :

$$|\mathbf{p}^\sigma(v) - \mathbf{p}^{\sigma^y}(v)| \leq C \exp(-\alpha \text{dist}(v, y))$$



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In 2-dimensions, for all  $\beta < \beta_c$ : SSM holds.

# MONOTONICITY

Define partial ordering on  $\{-1, +1\}^V$ :

$$X_t \leq Y_t \iff \text{for all } v \in V, X_t(v) \leq Y_t(v)$$

Extremal states:  $\text{all } -1$  and  $\text{all } +1$ .

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**Grand coupling:** All chains choose **same**  $v_t$ , **same**  $r_t$ .

$$\text{If } X_t \leq Y_t \text{ then } X_{t+1} \leq Y_{t+1}.$$

**Glauber dynamics:** From  $X_t \in \{-1, +1\}^V$ ,

- Choose random vertex  $v_t$  and **random**  $r_t \in [0, 1]$ .
- For  $w \neq v_t$ ,  $X_{t+1}(w) = X_t(w)$ .
- Let  $d^+ = \#$  of  $+1$  neighbors of  $v_t$ ,  $d^- = \#$  of  $-1$  neighbors.
- Set  $X_{t+1}(v_t) = +1$  with prob.  $\propto \exp(\beta d^+)$   
and  $X_{t+1}(v_t) = -1$  with prob.  $\propto \exp(\beta d^-)$ .

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- Set  $X_{t+1}(v) = +1$  if  $r_t \leq \exp(\beta d^+) / (\exp(\beta d^+) + \exp(\beta d^-))$ .  
Else, set  $X_{t+1}(v_t) = -1$ .

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Only need to couple extremal states:

Let  $X_0^- = \text{all } -1$  and  $X_0^+ = \text{all } +1$ .

Then for all  $Y_0 \in \Omega$ , for all  $t$ :

$$X_t^- \leq Y_t \leq X_t^+$$

Hence, if  $X_T^- = X_T^+$  then  $T_{\text{mix}} \leq T_{\text{couple}} \leq T$ .

Blanca's boosting proof [Dyer, Sinclair, V, Weitz '04]:

Fix the graph  $\Lambda_n$  as  $\sqrt{n} \times \sqrt{n}$  box of  $\mathbb{Z}^2$  - arbitrary b.c..

Let  $T^*(n)$  denote an upper bound on  $T_{\text{mix}}$ , e.g.,  $T^*(n) \leq 2^n$ .

Consider  $X_0^- = -1, X_0^+ = +1$ .

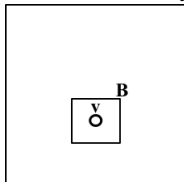
Goal: Prove  $\Pr(X_T^- \neq X_T^+) \leq 1/4$  for

$$T = \frac{n}{\log^2(n)} T^*(C \log^2 n) \log 9n = O(n/\log n) \times T^*(C \log^2 n).$$

Suffices to show, for every  $v \in V$ :  $\Pr(X_T^-(v) \neq X_T^+(v)) \leq 1/4n$ .

Fix  $v \in V$ . Box  $B = B_\ell(v), \ell = O(\log n)$  (note,  $|B| = O(\log^2 n)$ )

Define  $Y_0^- = -1, Y_0^+ = +1$ , but frozen in  $\bar{B}$ :  $Y_t^- \leq X_t^- \leq X_t^+ \leq Y_t^+$



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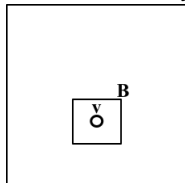
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$T$  updates on  $\Lambda_n$  then whp  $T \times (|B|/n) = T^*(|B|) \log(9n)$  on  $B$ .

If  $T_{\text{mix}}(1/4) = T^*$  then  $T_{\text{mix}}(1/9n) = T^* \log(9n)$ .



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If  $T_{\text{mix}}(1/4) = T^*$  then  $T_{\text{mix}}(1/9n) = T^* \log(9n)$ .

$$\Pr(X_T^-(v) \neq X_T^+(v))$$

$$\leq \Pr(Y_T^-(v) \neq Y_T^+(v))$$

$$\leq \Pr(Y_T^+(v) = +) - \Pr(Y_T^-(v) = +)$$

$$\leq |\Pr(Y_T^+(v) = +) - \mu_B^+(v = +)| + |\mu_B^+(v = +) - \mu_B^-(v = +)| \\ + |\mu_B^-(v = +) - \Pr(Y_T^-(v) = +)|$$

$$\leq 1/9n + \exp(-C' \log n) + 1/9n \quad \text{by induction + SSM}$$

$$\leq 1/4n$$

Blanca's boosting proof [Dyer, Sinclair, V, Weitz '04]:

$$T_{\text{mix}} = O(n/\log n) \times T^*(C \log^2 n).$$

$$2^n \rightarrow 2^{O(\log^2 n)} O(n/\log n) \leq n^{O(\log n)}$$

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**Extra Boosting:**

Can boost from  $O(n^{1.5-\epsilon})$ , for any  $\epsilon > 0$ , to  $O(n \log n)$ .

Let  $\beta_c(\mathbb{T}_d)$  denote the critical point for infinite  $d$ -regular tree  $\mathbb{T}_d$ .

Note,  $\beta_c(\mathbb{T}_d) = 2(d - 1) \tanh(\beta)$ .

[Mossel-Sly '13]: For all  $G$  of max. degree  $d$ , all  $\beta < \beta_c(\mathbb{T}_d)$ ,  
 $T_{\text{mix}} = O(n \log n)$ .

Previous approach for grid:

Box/ball  $B$  of radius  $\Omega(\log n)$  around  $v$ .

But for arbitrary  $G$ ,  $|B|$  can be  $|G|$ .

Can only do constant radius  $R$  for  $B$ .

Hence, need to do multistages, can't couple in one round.

# GENERAL GRAPHS

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Weitz's approach: running time  $n^{1+C(\beta,d)}$

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But for arbitrary  $G$ ,  $|B|$  can be  $|G|$ .

Can only do constant radius  $R$  for  $B$ .

Hence, need to do multistages, can't couple in one round.

# GENERAL GRAPHS

Let  $\beta_c(\mathbb{T}_d)$  denote the critical point for infinite  $d$ -regular tree  $\mathbb{T}_d$ .

Note,  $\beta_c(\mathbb{T}_d) = 2(d - 1) \tanh(\beta)$ .

[Mossel-Sly '13]: For all  $G$  of max. degree  $d$ , all  $\beta < \beta_c(\mathbb{T}_d)$ ,  
 $T_{\text{mix}} = O(n \log n)$ .

Weitz's approach: running time  $n^{1+C(\beta,d)}$

Previous approach for grid:

Box/ball  $B$  of radius  $\Omega(\log n)$  around  $v$ .

But for arbitrary  $G$ ,  $|B|$  can be  $|G|$ .

Can only do constant radius  $R$  for  $B$ .

Hence, need to do multistages, can't couple in one round.

Key ideas:

- Stronger **Spatial Mixing** condition
- **Induction on disagreement probability** for a vertex
- **Censoring**



For a graph  $G = (V, E)$ , for  $v \in V$ , let  $\mathbf{p}(v) = \mathbf{Pr}(v = +)$ .  
For radius  $R$ , let  $B = B_R(v)$  be the ball of radius  $R$  around  $v$ .  
For  $y \in \partial B$  and boundary  $\sigma$ , obtain  $\sigma^y$  by “flipping” spin at  $y$ .

Strong Spatial Mixing (SSM):

Exists  $C, \alpha > 0$ ,

$$a_y \leq C(\exp(-\alpha R)).$$

Aggregate Strong Spatial Mixing (ASSM):

Exists  $R$ ,

$$\sum_{y \in \partial B} a_y \leq \frac{1}{4}.$$

# SPATIAL MIXING

For a graph  $G = (V, E)$ , for  $v \in V$ , let  $\mathbf{p}(v) = \mathbf{Pr}(v = +)$ .  
For radius  $R$ , let  $B = B_R(v)$  be the ball of radius  $R$  around  $v$ .  
For  $y \in \partial B$  and boundary  $\sigma$ , obtain  $\sigma^y$  by “flipping” spin at  $y$ .

Strong Spatial Mixing (SSM):

Exists  $C, \alpha > 0$ ,

$$a_y \leq C(\exp(-\alpha R)).$$

Aggregate Strong Spatial Mixing (ASSM):

Exists  $R$ ,

$$\sum_{y \in \partial B} a_y \leq \frac{1}{4}.$$

Roughly: ASSM means  $\exp(-\alpha) < 1/d$

# MOSSEL-SLY PROOF APPROACH

Once again,  $X_0^+ = +1, X_0^- = -1$ .

Goal: for every  $v \in V$ ,  $\Pr(X_T^+(v) \neq X_T^-(v)) \leq 1/4n$ .

Ball  $B = B_R(v)$  where  $R$  is radius from ASSM.

Let  $T^*$  denote, max  $v$ , worst boundary  $\sigma$ , mixing time on  $B_R^\sigma(v)$ .

Let  $T' = T^* \times \log(8d|B|)$ .

Induction, for all  $s \geq 0$ ,

$$\max_v \Pr(X_{s+T'}^+(v) \neq X_{s+T'}^-(v)) \leq \frac{1}{2} \max_v \Pr(X_s^+(v) \neq X_s^-(v))$$

Then we get  $T_{\text{mix}} = T' \log(n/\epsilon)$ .

# MOSSEL-SLY INDUCTIVE PROOF

Fix  $v$  and time  $s$ . Let's prove:

$$\max_v \Pr (X_{s+T'}^+(v) \neq X_{s+T'}^-(v)) \leq \frac{1}{2} \max_v \Pr (X_s^+(v) \neq X_s^-(v))$$

New chains:  $Y_0^+ = +1, Y_0^- = -1$ .

For times  $t \leq s$ , same:  $X_t^+ = Y_t^+$  and  $X_t^- = Y_t^-$ .

For times  $t > s$ ,  $Y_t^+$  and  $Y_t^-$  ignore moves outside  $B$ .

Note,  $X_s^+(\bar{B}) = Y_s^+(\bar{B})$  is arbitrary.

Can go  $X_t^+(\bar{B}) \geq Y_t^+(\bar{B})$  since we didn't fix extremal (all +) on  $\bar{B}$ .

Instead use:

[Peres-Winkler '13] Censoring: "Extra moves don't hurt":

$$Y_t^+ \geq X_t^+ \geq X_t^- \geq Y_t^-$$

Hence, suffices to bound  $\Pr (Y_{s+T'}^+(v) \neq Y_{s+T'}^-(v))$ .

# BOUNDING CENSORED CHAINS

Ball  $B = B_R(v)$  where  $R$  is from ASSM.

Outer boundary  $\partial B = S_{R+1}(v)$ .

All  $A = B_{R+1}(v) = B \cup \partial B$ .

Let  $\sigma^+ = X_s^+(A) = Y_s^+(A)$  and  $\sigma^- = X_s^-(A) = Y_s^-(A)$ .

$$\begin{aligned} & \Pr(Y_{s+T'}^+(v) \neq Y_{s+T'}^-(v) \mid \sigma^+, \sigma^-) \\ & \leq |\Pr(Y_{s+T'}^+(v) = + \mid Y_s^+(A) = \sigma^+) - \mu_B^{\sigma^+}(v = +)| \\ & \quad + |\mu_B^{\sigma^+}(v = +) - \mu_B^{\sigma^-}(v = +)| \\ & \quad + |\Pr(Y_{s+T'}^-(v) = + \mid Y_s^-(A) = \sigma^-) - \mu_B^{\sigma^-}(v = +)| \end{aligned}$$

Now bound each term on RHS.

# INDUCTION

Recall,  $T' = T^* \times \log(8|A|)$ .

Outer terms:

$$|\Pr(Y_{s+T'}^+(v) = + \mid \sigma^+) - \mu_B^{\sigma^+}(v = +)| \leq 1/8|A|.$$

Middle term:

$$|\mu_B^{\sigma^+}(v = +) - \mu_B^{\sigma^-}(v = +)| \leq \sum_{w \in \partial B} \mathbf{1}(\sigma^+(w) \neq \sigma^-(w)) a_w.$$

Combining we get:

$$\begin{aligned} \Pr(Y_{s+T'}^+(v) \neq Y_{s+T'}^-(v) \mid \sigma^+, \sigma^-) \\ \leq \frac{1}{4|A|} + \sum_{w \in \partial B} \mathbf{1}(\sigma^+(w) \neq \sigma^-(w)) a_w. \end{aligned}$$

# UNCONDITIONING...

Combining we got:

$$\begin{aligned} \Pr(Y_{s+T'}^+(v) \neq Y_{s+T'}^-(v) \mid \sigma^+, \sigma^-) \\ \leq \frac{1}{4|A|} + \sum_{w \in \partial B} \mathbf{1}(\sigma^+(w) \neq \sigma^-(w)) a_w. \end{aligned}$$

If  $Y_s^+(A) = Y_s^-(A)$  then  $Y_t^+(A) = Y_t^-(A)$  for  $t \geq s$ .

$$\begin{aligned} \Pr(Y_{s+T'}^+(v) \neq Y_{s+T'}^-(v)) \\ \leq \sum_{\sigma^+, \sigma^-} \Pr(Y_{s+T'}^+(v) \neq Y_{s+T'}^-(v) \mid \sigma^+, \sigma^-) \Pr(\sigma^+, \sigma^-) \\ \leq \frac{\Pr(Y_s^+(A) \neq Y_s^-(A))}{4|A|} + \sum_{w \in \partial B} \Pr(Y_s^+(w) \neq Y_s^-(w)) a_w \\ \leq \frac{1}{2} \max_v \Pr(Y_s^+(v) \neq Y_s^-(v)) \end{aligned}$$

Next lecture (Eric): Estimating the permanent

4th lecture (Daniel): Gadgets for inapproximability of counting  
= random bipartite  $d$ -regular graphs

Thank you